

Attorney's Docket No. 003386.P010



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Jonathan M. Goldberg, et al.

Application No.: 09/754,385

Filed: January 8, 2001

For:  
KNOWLEDGE NEIGHBORHOODS

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

Assistant Commissioner for Patents  
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231 on March 7, 2001.

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Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

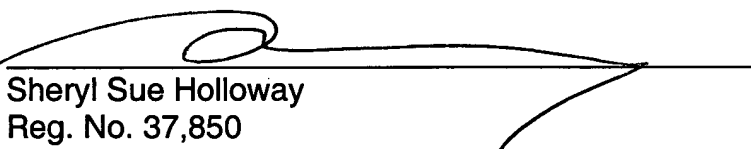
- x   37 C.F.R. §1.97(b).
- 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- A statement pursuant to 37 C.F.R. §1.97(e) or
- A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: MAR. 7, 2001

  
Sheryl Sue Holloway  
Reg. No. 37,850

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300

Form PTO-1449 (Modified)

Atty Docket No.:

Serial No.:

003386.P010

09/754,385

List of Patents and Publications Statement  
(Use several sheets if necessary)

Applicant:

Jonathan M. Goldberg, et al.

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Filing Date: January 8, 2001

## REFERENCE DESIGNATION

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Examiner Initials		Document No.		Class	Sub-Class	Filing date if appropriate
	AA	4,914,586	Swinehart et al.	364	200	11/06/1987
	AB	4,970,681	Bennett	364	900	10/20/1987
	AC	5,051,891	MacPhail	364	200	12/23/1987
	AD	5,247,575	Sprague, et al.	380	9	04/24/1992
	AE	5,247,661	Hager, et al.	395	600	09/10/1990
	AF	5,251,131	Masand, et al.	364	419.08	07/31/1991
	AG	5,251,159	Rowson	364	578	03/20/1991
	AH	5,276,869	Forrest, et al.	395	600	09/10/1990
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Examiner

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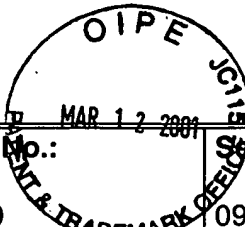
<b>Form PTO-1449 (Modified)</b>		<b>Atty Docket No.:</b> 1 2 2001 003386.P010		<b>Serial No.:</b> 09/754,385	
List of Patents and Publications Statement (Use several sheets if necessary) Page 2 of 8				<b>Applicant:</b> Jonathan M. Goldberg, et al. <b>Filing Date:</b> January 8, 2001	

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REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS			
Examiner Initials	Document No.	Document No.	Inventor	Class	Sub-Class	Filing date if appropriate
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	BJ	5,754,938	Herz, et al.	455	4.2	10/31/1995
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	CH	5,924,090	Krellenstein	707	5	05/01/1997

<b>Examiner</b>	<b>Date Considered</b>
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**FOREIGN PATENT DOCUMENTS**

No.		Document No.	Date	Country	Class	Sub-Class	Trans-lation
	CI	WO 99/39279	05/08/1999	PCT	G06F	17/30	No

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

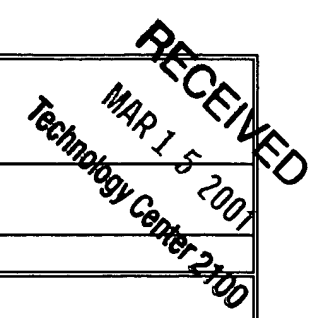
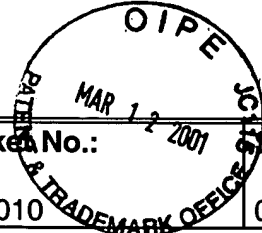
CJ	Leonard N. Foner, "A Multi-Agent Referral System for Matchmaking", MIT Media Lab
CK	Welcome to enonymous.com, Web page, "Be Privacy Aware ... Be enonymous!", <a href="http://www.enonymous.com/default.asp">http://www.enonymous.com/default.asp</a> .
CL	"Clustering and Information Sharing in an Ecology of Cooperating Agents", Leonard N. Foner, <u>AAAI Workshop on Information Gathering in Distributed, Heterogenous Environments '95</u> .
CM	Enonymous Web Page, "Frequently Asked Questions", <a href="http://www.enonymous.com/faq.asp">http://www.enonymous.com/faq.asp</a> .
CN	Enonymous Web Page, "How enonymous advisor beta works ...", <a href="http://www.enonymous.com/howitworks.asp">http://www.enonymous.com/howitworks.asp</a> .
CO	"Political Artifacts and Personal Privacy: The Yenta Multi-Agent Distributed Matchmaking System", Leonard Newton Foner, April 30, 1999, © Massachusetts Institute of Technology, 1999.
CP	"Somewhat-by-topic linearization of Yenta", Leonard Foner, last modified February 11, 1997, <a href="http://www.media.mit.edu/people/foner/Yenta/linearization-by-topic.html">http://www.media.mit.edu/people/foner/Yenta/linearization-by-topic.html</a> .
CQ	Enonymous Web Page, "the enonymous profile ..", <a href="http://www.enonymous.com/profile.asp">http://www.enonymous.com/profile.asp</a> .
CR	Enonymous Web Page, "The enonymous zone ...", <a href="http://www.enonymous.com/zone.asp">http://www.enonymous.com/zone.asp</a> .
CS	Enonymous Web Page, "What is enonymous advisor?", <a href="http://www.enonymous.com/whatisit.asp">http://www.enonymous.com/whatisit.asp</a> .
CT	Enonymous Web Page, "Why be enonymous?", <a href="http://www.enonymous.com/whybeenon.asp">http://www.enonymous.com/whybeenon.asp</a>
CU	"Yenta: A Multi-Agent, Referral-Based Matchmaking System", Leonard N. Foner, <u>The First International Conference on Autonomous Agents (Agents '97)</u> , Marina del Rey, CA, 1997.
CV	"Abuzz's Mission", Abuzz, <a href="http://www.abuzz.com">http://www.abuzz.com</a>

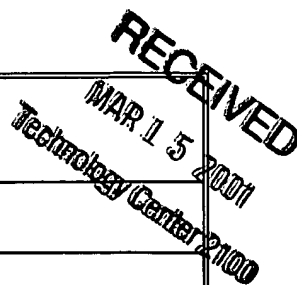
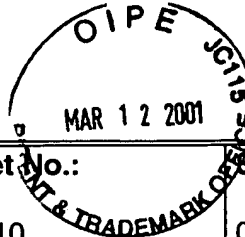
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<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
	CW	"Always Thinking Ahead", Natrifical Software Technologies, <a href="http://www.natrifical.com">http://www.natrifical.com</a>	
	CX	"askSam for Windows Getting Started Guide", (from Software Patent Institute Database of Software Technologies), first section, May 30, 1995.	
	CY	"Autonomy Agentware™ Technology White Paper" <a href="http://www.agentware.com">http://www.agentware.com</a>	
	CZ	"Autonomy Knowledge Management Products", <a href="http://www.agentware.com">http://www.agentware.com</a>	
	DA	"Autonomy Knowledge Server Data Sheet", <a href="http://www.agentware.com">http://www.agentware.com</a>	
	DB	"Autonomy Knowledge Update Data Sheet", <a href="http://www.agentware.com">http://www.agentware.com</a>	
	DC	"Autonomy Latest News" Autonomy Home Page, <a href="http://www.agentware.com">http://www.agentware.com</a>	
	DD	"Autonomy Launches New Knowledge Management Products to Help Companies Leverage Employee Expertise, Late-breaking News and Existing Information Archives", Autonomy Press Release, February 17, 1998, <a href="http://www.agentware.com">http://www.agentware.com</a>	
	DE	"Autonomy Ships Agentware Products for Knowledge Management", Autonomy Press Release, April 14, 1998, <a href="http://www.agentware.com">http://www.agentware.com</a>	
	DF	"Beehive", Abuzz, <a href="http://www.abuzz.com/home/benefits.htm">http://www.abuzz.com/home/benefits.htm</a> .	
	DG	"Beehive: Beta Program", Abuzz, <a href="http://www.abuzz.com/home/demos.htm">http://www.abuzz.com/home/demos.htm</a>	
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	DI	DATA SOURCES. PP K-213, 214, 217, 224, 229 and 230, 1 <sup>st</sup> Edition 1998.	
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	DK	e-Genie by Open Sesame: Your Entertainment Genie!, <a href="http://www.opensesame.com/">http://www.opensesame.com/</a> .	
	DL	<a href="http://www.email-software.com/pages/00108.htm">http://www.email-software.com/pages/00108.htm</a> , review of "Emailrobot for Exchange/SMTP".	
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<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
	DM	"EZ Reader: Embedded AI for Automatic Electronic Mail Interpretation and Routing", <i>Proceedings of the Thirteenth National Conference on Artificial Intelligence</i> and the Eighth Innovative Applications of Artificial Intelligence Conference, A. Rice, J. Hsu, A. Angotti and R. Piccolo, vol 2, pp 1507-1517, August 4-8, 1996 (INSPEC Abstract).	
	DN	"Free Your Mind", Natrifical Software Technologies, <a href="http://www.natrifical.com">http://www.natrifical.com</a>	
	DO	"Information Management for Knowledge Amplification in Virtual Enterprises", J. Numata et al., <i>IEMC Proceedings</i> , pp.281-285, August 18-20, 1996 (INSPEC Abstract).	
	DP	"IS Puts Notes to the Test", <i>Datamation</i> , Mark Schlack, vol. 37, no. 15, pp 24-26, August 1, 1991	
	DQ	"It's Your Thought That Counts", Natrifical Software Technologies, <a href="http://www.natrifical.com">http://www.natrifical.com</a> .	
	DR	"Knowing What We Know", Justin Hibbard, CPM net Information Week On Line, October 20, 1997.	
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	DU	"Knowledge Management Takes Industry's Center Stage", Elliot Maise, CMP net Computer Reseller News, February 2, 1998, Issue 774.	
	DV	"Knowledge Management: Fuel For Innovation", Bob Evans, CPM net Information Week On Line, October 20, 1997.	
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	DZ	Forbes Web Page, Forbes ASAP (04-06-98): Life Span vs. Life Spam, <a href="http://www.forbes.com/asap/98/0406/074.htm">http://www.forbes.com/asap/98/0406/074.htm</a>	
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Examiner		Date Considered	
<p>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>			

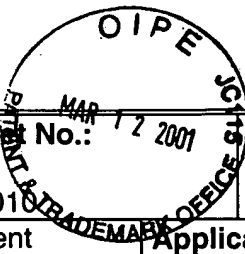




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<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
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	ED	"Natrificial Software Technologies", Natrificial Software Technologies, <a href="http://www.natrificial.com">http://www.natrificial.com</a> .	
	EE	"Neural Agent Enable Personalized Surfing", R. Colin Johnson, CMP net TechWeb, <a href="http://www.techweb.com">http://www.techweb.com</a> , February 4, 1998.	
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	EH	NPTool Intro: Nptool in Outline, <a href="http://www.lingsoft.fi/doc/nptool/intro/outline.html">http://www.lingsoft.fi/doc/nptool/intro/outline.html</a> .	
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	EL	NPTool Intro: Syntactic Description, <a href="http://www.lingsoft.fi/doc/nptool/intro/syntax.html">http://www.lingsoft.fi/doc/nptool/intro/syntax.html</a> .	
	EM	NPTool Intro: Use of a Noun Phrase Parser, <a href="http://www.lingsoft.fi/doc/nptool/intro/uses.html">http://www.lingsoft.fi/doc/nptool/intro/uses.html</a> .	
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	EO	Open Sesame Published Papers, <a href="http://www.opensesame.com/co_02.html">http://www.opensesame.com/co_02.html</a>	
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	ER	Open Sesame: Agent Sourcebook, <a href="http://www.opensesame.com/co_03.html">http://www.opensesame.com/co_03.html</a> .	
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<b>Page 7 of 8</b>	<b>Filing Date:</b> January 8, 2001	

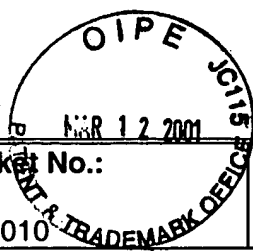
**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

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ET	Open Sesame: Press; Reviews, <a href="http://www.opensesame.com/pr_02.html">http://www.opensesame.com/pr_02.html</a> .
EU	Open Sesame: Products/Demos, <a href="http://www.opensesame.com/product_05.html">http://www.opensesame.com/product_05.html</a> .
EV	Open Sesame: Products: Benefits, <a href="http://www.opensesame.com/prod_02.html">http://www.opensesame.com/prod_02.html</a> .
EW	Open Sesame: Products; Competitive Summary, <a href="http://www.opensesame.com/prod_03.html">http://www.opensesame.com/prod_03.html</a> .
EX	Open Sesame: Products; eGenie Live!, <a href="http://www.opensesame.com/prod_06.html">http://www.opensesame.com/prod_06.html</a> .
EY	Open Sesame: Products; Learn Sesame, <a href="http://www.opensesame.com/products.html">http://www.opensesame.com/products.html</a> .
EZ	Open Sesame: Products; Product Datasheet, <a href="http://www.opensesame.com/prod_04.html">http://www.opensesame.com/prod_04.html</a> .
FA	Open Sesame: Products; Why Personalize?, <a href="http://www.opensesame.com/prod_01.html">http://www.opensesame.com/prod_01.html</a> .
FB	Open Sesame: The Company, <a href="http://www.opensesame.com/company.html">http://www.opensesame.com/company.html</a> .
FC	Orbital Technologies: About Orbital Technologies, <a href="http://www.orbital-tech.com/about.html">http://www.orbital-tech.com/about.html</a> .
FD	Orbital Technologies: Corporate Fact Sheet, January 1998, <a href="http://www.orbital-tech.com">http://www.orbital-tech.com</a> .
FE	Orbital Technologies: Orbital Organik White Paper, July 1997, <a href="http://www.orbital-tech.com/">http://www.orbital-tech.com/</a> .
FF	Orbital Technologies: Organik KnowledgeWare, <a href="http://www.orbital-tech.com/organikkw.html">http://www.orbital-tech.com/organikkw.html</a> .
FG	Orbital Technologies: Organik Persona Server, <a href="http://www.orbital-tech.com/organikps.html">http://www.orbital-tech.com/organikps.html</a> .

<b>Examiner</b>	<b>Date Considered</b>
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List of Patents and Publications Statement (Use several sheets if necessary) Page 8 of 8		Applicant: Jonathan M. Goldberg, et al. Filing Date: January 8, 2001	
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
	FH	Orbital Technologies: Our Focus, <a href="http://www.orbital-tech.com/">http://www.orbital-tech.com/</a> .	
	FI	Orbital Technologies: Orbital Products, <a href="http://www.orbital-tech.com/products.html">http://www.orbital-tech.com/products.html</a> .	
	FJ	<a href="http://www.email-software.com/pages/00033.htm">http://www.email-software.com/pages/00033.htm</a> , review of "Signup V2.0"	
	FK	"The Brain Press Reviews", Natrifical Software Technologies, <a href="http://www.natrifical.com">http://www.natrifical.com</a> .	
	FL	WinMAG.COM Web Page, The Brain: Much More The Way You Think, <a href="http://www.winmag.com/library/1998/0501/rev0078.htm">http://www.winmag.com/library/1998/0501/rev0078.htm</a>	
	FM	The Technology Behind Autonomy Agentware, Autonomy Technology Page, <a href="http://www.agentware.com">http://www.agentware.com</a> .	
	FN	"The Web at the Speed of Thought", Natrifical Software Technologies, <a href="http://www.natrifical.com">http://www.natrifical.com</a> .	
	FO	"Topic Real-Time", Hp-UX Documentation Disc 50726-10186 (from Software Patent Institute Database of Software Technologies), February 1, 1995.	
	FP	"What's New", Natrifical Software Technologies, <a href="http://www.natrifical.com">http://www.natrifical.com</a> , 1998.	
	FQ		
	FR		
	FS		
	FT		
	FU		
	FV		
	FW		
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